



## ASSEMBLY — 40TH SESSION

### PLENARY

#### Agenda Item 2: Approval of the Agenda

#### PROVISIONAL AGENDA FOR THE 40TH SESSION OF THE ICAO ASSEMBLY

(Presented by the Council of ICAO)

#### EXECUTIVE SUMMARY

The Provisional Agenda of the Assembly, as approved by the Council, is presented in the Appendix together with explanatory notes on each agenda item. Any items that the Council may decide to add will be communicated to you immediately. Member States wishing to submit proposals for the addition of items should do so by 15 August 2019.

In order to better coordinate the work of the Administrative Commission with the other bodies in terms of the budgetary impact of their deliberations and recommendations, the Council, at its 206th Session, agreed that in the event a Member State wishes to propose a new initiative or project activity that has budget implications for the Organization, it would be required to include a cost estimate for its proposal. Such Member State is encouraged to work with the Secretariat in developing the cost estimate and submit its proposal before 1 June 2019, to enable the Council to consider and then decide if the proposal should be included in the draft budget submission.

**Action:** The Assembly is invited to approve the Provisional Agenda for the 40th Session of the ICAO Assembly as presented in the Appendix.

<i>Strategic Objectives:</i>	This working paper relates to all Strategic Objectives.
<i>Financial implications:</i>	Not applicable.
<i>References:</i>	Doc 7600, <i>Standing Rules of the Procedure of the Assembly of the International Civil Aviation Organization</i> Doc 10075, <i>Assembly Resolutions in Force</i> (as of 6 October 2016)



**APPENDIX**  
**ASSEMBLY — 40TH SESSION**  
**PROVISIONAL AGENDA**  
**PLENARY**

**Item 1:       Opening of the Session by the President of the Council**

The opening of the Session by the President of the Council will take place on Tuesday, 24 September at 1100 hours in the Assembly Hall and will be preceded by an Informal Meeting of Heads of Delegations of Member States, starting at 0945 hours.

**Item 2:       Approval of the Agenda**

As soon as possible after the opening of the Session, the Assembly shall be invited to approve the Provisional Agenda, as prepared by the Council (Rule 12).

**Item 3:       Establishment of Committees and Commissions**

In accordance with Rules 6, 14 and 18 of the Standing Rules of Procedure, the Assembly shall establish a Credentials Committee, an Executive Committee, a Coordinating Committee and an Administrative Commission. It is anticipated that the Assembly shall also establish three other Commissions, namely, the Technical, Economic and Legal Commissions.

**Item 4:       Reference of subjects to Committees and Commissions**

The Assembly will be invited to refer items of the agenda to the Executive Committee and to the Technical, Economic, Legal and Administrative Commissions for consideration and report (Rule 20).

**Item 5:       Election of Member States to be represented on the Council**

For this item, documentation will be presented to the Assembly, setting forth the voting procedure for the election of the Council, the precedents of the elections in previous years and other relevant information. Reference will also be made to Assembly Resolution A4-1 specifying the obligations of Council Member States (Rules 54-61).

**Item 6:       Election of the President of the Assembly**

The Assembly shall elect its President, who shall preside over the plenary meetings of the Assembly. Until such election, the President of the Council shall act as President of the Assembly (Rule 8).

**PLENARY**

**Item 7: Election of the four Vice-Presidents of the Assembly and Chairpersons of Commissions**

The Assembly shall elect four Vice-Presidents and the Chairpersons of the Commissions referred to above (Rules 9 and 22).

**Item 8: Statements by delegations of Member States**

Statements by delegations should preferably be submitted to the President of the Council ten days before the opening of the Session, and be published. Exceptionally, for oral presentations if so wished, arrangements should be made with the President of the Council, who should be notified at least ten calendar days before the opening of the Session of a State's intention to make an oral presentation. Oral presentations should focus on agenda items or topics related to the main subjects of discussion within the Organization and should be no longer than five minutes.

**Item 9: Reports by Committees and Commissions of the Assembly and action thereon**

These reports are the final reports of the Committees and Commissions on each item, together with the draft resolutions presented for adoption by the Assembly.

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## EXECUTIVE COMMITTEE

### **Item 10: Contributions in arrears**

In accordance with Assembly Resolution A39-31, this item will permit consideration of the status of voting privileges of States that have long-outstanding arrears.

### **Item 11: Annual Reports of the Council to the Assembly for 2016, 2017 and 2018**

The Executive Committee will be invited to review as a whole the Annual Reports of the Council to the Assembly for 2016, 2017 and 2018 and the supplementary report covering the first six months of 2019 falling within its field of competence.

### **Item 12: Aviation Security — Policy**

The Council will provide a progress report on major aviation security activities and other initiatives, taking into account the implementation of the Global Aviation Security Plan (GASeP), the United Nations (UN) Security Council Resolution 2309 – *Threats to international peace and security caused by terrorist acts: Aviation security*, and outcomes of the Second High-level Conference on Aviation Security (November 2018). The Council will propose the adoption of a revised *Consolidated statement on continuing ICAO policies related to aviation security* (Assembly Resolution A39-18).

### **Item 13: Audit Programmes – Continuous Monitoring Approach**

Pursuant to Assembly Resolution A39-18, Appendix E, the Council will present a report on the Universal Security Audit Programme – Continuous Monitoring Approach (USAP-CMA). Information will be provided on the overall implementation of the Programme, the main results of the audits and analysis of the effective implementation of the critical elements of States' aviation security oversight systems, and of compliance with Annex 17 – *Security Standards*. A report on the Universal Safety Oversight Audit Programme Continuous Monitoring Approach (USOAP CMA) will also be presented.

The Assembly will also be informed of the action taken by the Council, following the 13th Air Navigation Conference (ANConf/13), on the outcome of the post implementation review of the ICAO Universal Safety Oversight Audit Programme (USOAP).

### **Item 14: Facilitation Programmes**

The Council will report on major activities relating to the recent developments in Annex 9 – *Facilitation* and the progress made in implementing the ICAO Traveller Identification Programme (ICAO TRIP) Strategy, including the ICAO Public Key Directory (PKD), taking into account the viability study of a Global Aviation Facilitation Plan (GAFP), the UN Security Council resolutions helping Member States to detect and counter the threat posed by foreign terrorist fighters (FTFs), as well as outcomes of the Second High-level Conference on Aviation Security (November 2018) on facilitation matters. Priorities for the 2020-2021-2022 triennium will also be proposed. The Council will propose the adoption of a revised *Consolidated statement of continuing policies related to facilitation* (Assembly Resolution A39-20).

## EXECUTIVE COMMITTEE

**Item 15: Environmental Protection – General provisions, Aircraft Noise and Local Air Quality– Policy and Standardization**

The Council will present reports on the present and future impact and trends in aircraft noise, Local Air Quality (LAQ) emissions and CO<sub>2</sub> as the basis for decision-making on environmental matters. Reports will also be presented on activities with regard to progress on the development of policies and Standards and Recommended Practices (SARPs) on aircraft noise, emissions affecting LAQ and CO<sub>2</sub>, including elements of the balanced approach for noise management, development of a new non-volatile Particulate Matter (nvPM) Standard, and the adoption of the first ICAO Aeroplane CO<sub>2</sub> Emissions Standard. In this regard, information will be provided on cooperation with other UN bodies. The Council will present proposals for updating the *Consolidated statement of continuing ICAO policies and practices related to environmental protection – General provisions, noise and local air quality* (Assembly Resolution A39-1).

**Item 16: Environmental Protection – International Aviation and Climate Change — Policy and Standardization**

The Council will present reports on activities with regard to international aviation and climate change, including the adoption of the first Aeroplane CO<sub>2</sub> Emissions Standard, and sustainable aviation fuels. The Council will present proposals for updating the *Consolidated statement of continuing ICAO policies and practices related to environmental protection – Climate Change* (Assembly Resolution A39-2).

**Item 17: Environmental Protection – Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA)**

The Council will present reports on activities with regard to the implementation of the Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA), including the development and implementation of new SARPs for monitoring, reporting and verification (MRV) of CO<sub>2</sub> emissions from international flights, as well as eligible emissions units to be used under CORSIA. In this regard, information will also be provided on the cooperation and main developments with other UN bodies. The Council will present proposals for updating the *Consolidated statement of continuing ICAO policies and practices related to environmental protection – Global Market-based Measure (MBM) scheme* (Assembly Resolution A39-3).

**Item 18: Multilingualism at ICAO**

Pursuant to Assembly Resolution A37-25, the Council will present a report on the effects of the implementation of the policies and decisions adopted to enhance efficiency and effectiveness on language service matters, as well as outstanding issues to improve the availability and quality of language services of the Organization.

## EXECUTIVE COMMITTEE

### **Item 19: Increasing the efficiency and effectiveness of ICAO**

The Council will present a report on initiatives to, inter alia, enhance communications with Member States and improve the working methods, procedures and governance of the Organization, ICAO's assurance framework, corporate performance management, and Council Off-site Strategy meetings.

### **Item 20: No Country Left Behind Initiative**

The *No Country Left Behind* (NCLB) initiative has been publicized, supported and implemented as part of ICAO's day-to-day operations since its inception in 2014. The Council will present a report on the major achievements and activities carried out under the NCLB initiative, as well as the priority areas for the 2020-2021-2022 triennium.

### **Item 21: United Nations 2030 Agenda - Sustainable Developments Goals (SDGs)**

By virtue of the Organization's status as a UN Specialized Agency and in accordance with its mandate, ICAO supports the realization of the *2030 Agenda for Sustainable Development* through the delivery of its Strategic Objectives. The Council will present a report on ICAO's activities in support of the SDGs, as well as the cooperation and partnership with States and other United Nations bodies to achieve related targets.

### **Item 22: Resource Mobilization and Voluntary Funds**

The ICAO Resource Mobilization Policy aims at achieving adequate, more predictable and sustainable voluntary contributions, financial and in-kind, to realize the Organization's mission by broadening its donor base and facilitating the consolidation of available and projected resources within an integrated Business Plan for the Organization. The Council will present a report on the activities related to resource mobilization, as well as financial, project and administrative information on all the ICAO voluntary funds.

### **Item 23: Technical Assistance Programme**

Technical assistance, which is a major component of the NCLB initiative, serves to assist all States on prioritized needs in advancing their civil aviation, especially through the effective implementation of ICAO's SARPs and policies, programmes, regional plans, as well as States' voluntary action plans, in the fields of aviation safety, air navigation capacity and efficiency, security and facilitation, economic development of air transport and environmental protection. The Council will present a comprehensive report on the overall implementation of the technical assistance programme for the current triennium. Information will also be presented on the priorities for the 2020-2021-2022 triennium, as well as the development and implementation of targets and performance indicators used to measure the effectiveness of assistance activities.

## **EXECUTIVE COMMITTEE**

### **Item 24: Technical Cooperation - Policy and Activities**

The Council will present a report illustrating the Technical Cooperation Programme performance results in the 2016 to 2018 period from both the financial and the non-quantifiable operational perspectives, including Programme achievements by ICAO Strategic Objective and ICAO Programme for Aviation Volunteers (IPAV).

### **Item 25: ICAO Civil Aviation Training and Capacity Building**

The Council will present a status report on the implementation of the ICAO Civil Aviation Training activities and capacity building strategies in aviation. In this regard, the Council will also report on the achievements of the TRAINAIR PLUS Programme and the Aviation Security Training Centre (ASTC) network, the development of ICAO-recognized training package addressing all Strategic Objectives, and training priorities and projects, including the establishment of new partnership. In addition, professional training and higher education activities for the Next Generation of Aviation Professional (NGAP) Programme will be presented.

### **Item 26: Other high-level policy issues to be considered by the Executive Committee**

This item is intended for subjects concerning high-level policy issues other than those that have a specific agenda item, which require the consideration of the Assembly.

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**TECHNICAL COMMISSION****Item 27: Annual Reports of the Council to the Assembly for 2016, 2017 and 2018**

The Technical Commission will be invited to review those parts of the Annual Reports of the Council to the Assembly for 2016, 2017 and 2018 and the supplementary report covering the first six months of 2019 falling within its field of competence.

**Item 28: Aviation Safety and Air Navigation Policy**

The Global Aviation Safety Plan (GASP) sets forth ICAO's safety strategy in support of the prioritization and continuous improvement of aviation safety. States and the regional aviation safety groups (RASGs) are expected to develop corresponding national and regional aviation safety plans in alignment with the GASP goals, respectively, to address organizational challenges and operational safety risks.

The Global Air Navigation Plan (GANP) provides high-level strategic direction to drive the evolution of the global air navigation system and meet the expectations of the aviation community. States and the planning and implementation regional groups (PIRGs) are expected to plan the implementation of basic air navigation services as well as operational improvements, according to their specific needs, to contribute to the global performance objectives defined in the GANP.

The Global Plans will take into account action by ICAO on the relevant recommendations of the Thirteenth Air Navigation Conference (ANConf/13, October 2018). In this regard, the report of the outcomes of the conference will be presented by the Council who will also present the updated GASP and GANP for endorsement by the Assembly. The Council will also propose adoption of a revised *Consolidated statement of continuing ICAO policies and associated practices related specifically to air navigation* (Assembly Resolution A38-12).

**Item 29: Aviation Safety and Air Navigation Regional Implementation Coordination Mechanisms**

The Council will outline regional implementation support mechanisms for the next triennium at the global and regional levels, including efforts that support the *No Country Left Behind* (NCLB) initiative, taking into account the action taken by ICAO on the relevant recommendations of ANConf/13. It will outline expectations for PIRGs, RASGs, regional safety oversight organizations (RSOOs) and associated regional collaboration mechanisms.

**Item 30: Other issues to be considered by the Technical Commission**

This item is intended for subjects other than those that have a specific agenda item, which require the consideration of the Assembly.

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## ECONOMIC COMMISSION

### **Item 31: Annual Reports of the Council to the Assembly for 2016, 2017 and 2018**

The Economic Commission will be invited to review those parts of the Annual Reports of the Council to the Assembly for 2016, 2017 and 2018 and the supplementary report covering the first six months of 2019 falling within its field of competence.

### **Item 32: Economic Regulation of International Air Transport — Policy**

The Council will report on ICAO work in the implementation of the resolutions and follow-up work to the 39th Session of the Assembly. Priorities for the 2020-2021-2022 triennium will be proposed based on the need to improve the global economic regulatory environments for international air transport, maximize aviation's benefits and contribution to socio-economic development, and encourage and support the liberalization process, especially the liberalization of air cargo services and cross border investments in airlines.

### **Item 33: Economics of Airports and Air Navigation Services —Policy**

The Council will report on developments in the regulatory and economic aspects of airports and air navigation services, with a focus on funding and, financing or the development and modernization of quality aviation infrastructure commensurate with the level of predicted traffic growth. A holistic and transformative approach will also be presented toward the aspirational goal of “no constraints of infrastructure capacity, technology and financial resources for aviation development” based on the outcomes of the ICAO World Aviation Forums (IWAF).

### **Item 34: Aviation Data— Monitoring and Analysis**

The Council will report on the priority areas of aviation data and analysis work programme, including the customization and updates of traffic forecasts the development of business analysis tools, the monitoring of e-commerce activities, the methodological framework of the aviation satellite account to measure the economic contribution of aviation, and the further modernization of the ICAO Statistics Programme. In addition, information will be provided on the progress of the big data projects to States in strengthening their analytical capabilities. A work programme for the 2020-2021-2022 triennium will be proposed.

### **Item 35: Economic Development of Air Transport**

The Council will present a report on significant regulatory and industry developments of international air transport since the last Assembly. The Assembly will consider the adoption of a revised *Consolidated statement of continuing ICAO policies in the air transport field* (Assembly Resolution A39-15).

### **Item 36: Other issues to be considered by the Economic Commission**

This item is intended for subjects other than those that have a specific agenda item, which require the consideration of the Assembly.

**LEGAL COMMISSION****Item 37: Annual Reports of the Council to the Assembly for 2016, 2017 and 2018**

The Legal Commission will be invited to review those parts of the Annual Reports of the Council to the Assembly for 2016, 2017 and 2018 and the supplementary report covering the first six months of 2019 falling within its field of competence.

**Item 38: Work Programme of the Organization in the Legal Field**

The Council will present a report on the progress of work in relation to the items on the work programme of the Legal Committee and will present recommendations for the future work programme as appropriate.

**Item 39: Consolidated Statement of Continuing ICAO Policies in the Legal Field**

The Council will present proposals for updating the *Consolidated statement of continuing ICAO policies in the legal field* (Assembly Resolution A39-11).

**Item 40: Other issues to be considered by the Legal Commission**

This item is intended for subjects concerning issues other than those that have a specific agenda item, which require the consideration of the Assembly.

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## **ADMINISTRATIVE COMMISSION**

### **Item 41: Annual Reports of the Council to the Assembly for 2016, 2017 and 2018**

The Administrative Commission will be invited to review those parts of the Annual Reports of the Council to the Assembly for 2016, 2017 and 2018 and the supplementary report covering the first six months of 2019 falling within its field of competence.

### **Item 42: Budgets for 2020, 2021 and 2022**

Article 49 (e) of the Convention stipulates that the Assembly votes annual budgets and determines the financial arrangements of the Organization. Under this provision, the Council will submit the ICAO Budget for 2020, 2021 and 2022, as well as, if required, estimates for supplementary appropriations.

The Council will also submit the Indicative Budget Estimates of the Administrative and Operational Services Costs (AOSC) of the Technical Co-operation Programme and the Ancillary Revenue Generation Fund (ARGF).

### **Item 43: Confirmation of Council action in assessing the contributions to the General Fund and determining advances to the Working Capital Fund of States which have adhered to the Convention**

In accordance with Financial Regulations 6.9 and 7.5, the Council will act on the assessment of any State that may adhere to the Convention before the opening of the 40th Session of the Assembly. The Council action will be reported to the Assembly for approval.

### **Item 44: Contributions in arrears**

The Council will report on arrangements that may have been concluded to settle Member States' contributions in arrears and action to be taken in the case of Member States failing to discharge their financial obligation to the Organization.

In accordance with Financial Regulation 6.8 and Assembly Resolution A39-31, the Council will report on the result of actions undertaken, effective 1 January 2017, to monitor outstanding contributions and review the effectiveness of the incentive schemes, taking into account the additional measures applied to those Member States whose voting rights have been suspended in Resolving Clauses 10 and 11.

The Assembly will be informed of the actions taken in accordance with Assembly Resolution A38-25, Resolving Clause 1.

The application of Assembly Resolution A38-25, Resolving Clause 2, will be reviewed.

## ADMINISTRATIVE COMMISSION

In accordance with Assembly Resolution A38-25, Resolving Clause 3, with effect from 1 January 2005, subject to cash surplus availability, only that portion of a payment from a Member State that is in excess of the sum of the three preceding years' assessments and all instalments due under agreements entered into under Resolving Clause 4 of Assembly Resolution A39-31 shall be retained in a separate account to finance expenditure on aviation security activities, and new and unforeseen projects related to aviation safety, and/or to the enhancement of efficient delivery of ICAO programmes, such action to be under the control of the Council and reported to the next ordinary session of the Assembly.

In accordance with Assembly Resolution A38-25, Resolving Clause 4, the Council will report on the results of the efforts to closely monitor the question of outstanding contributions and the effects of the incentive schemes on payment of arrears by States, and report to the next ordinary session of the Assembly on the results of their efforts including other measures to be considered.

**Item 45: Assessments to the General Fund for 2020, 2021 and 2022**

This item is governed by Assembly Resolution A36-31, Clause 3.

In accordance with the methodology outlined in Assembly Resolution A36-31, Clause 1, the draft Scales of Assessment have been calculated and will be submitted for the consideration and approval of the Assembly.

**Item 46: Report on the Working Capital Fund**

In accordance with Assembly Resolution A39-33, the Council will report on the position of the Working Capital Fund.

**Item 47: Disposition of cash surplus/deficit**

The Council shall report on the disposition of cash surplus in accordance with Financial Regulation 6.2, or on how a deficit may be financed in accordance with Financial Regulation 6.3.

**Item 48: Amendment of the Financial Regulations**

In accordance with Financial Regulation 14.1, the Council will report to the Assembly on its actions in amending the Financial Regulations.

**Item 49: Review of expenditures, approval of accounts and examination of Audit Reports for the financial years 2016, 2017 and 2018**

This item will include the Audit Reports and Statement of Accounts for each of the financial years and in respect of the Organization, including Joint Financing funds, United Nations Development Programme funds, Trust Funds, Civil Aviation Purchasing Service funds and other funds administered by ICAO, as well as reports dealing with transfers from one Major Programme to another, supplementary appropriations and ex-gratia payments, if any.

## **ADMINISTRATIVE COMMISSION**

### **Item 50: Appointment of External Auditor**

In accordance with Financial Regulation 13.1, the Council will present a report on the appointment of the External Auditor.

### **Item 51: Status of ICAO Workforce and Human Resources Management**

Pursuant to Assembly Resolutions A24-20 and A36-27, the Council will present a statistical report on the composition of the ICAO workforce, including Equitable Geographical Representation (EGR), gender and demographic data, such as age, length of service, retirement projections and occupational groups, as at 31 December for the years 2016, 2017 and 2018.

The Council will present a progress report on the ongoing reform and modernization of the Organization's human resources management policies, practices, processes and systems, as well as future actions for further enhancement.

The Council will present a paper on the status of the ICAO Gender Equality Programme.

### **Item 52: Other issues to be considered by the Administrative Commission**

This item is intended for subjects other than those that have a specific agenda item, which require the consideration of the Assembly.

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